Report to: Audit and Governance Committee

Date: 18 January 2023

Title: Updating and Alignment of Schemes of Delegations to

Officers

Report of: Monitoring Officer

Ward(s): All

Purpose of report: To seek the Committee's comments on the draft new Lewes

Scheme and recommend it to Cabinet and full Council

Officer (1) To review and then to recommend to Cabinet the

recommendation(s): updated and aligned Scheme of Delegations to Officers

(executive functions only) set out in Appendix 1

(2) To review and then to recommend to full Council the updated and aligned Scheme of Delegations to Officers (non-executive functions only) set out in Appendix 1

Reasons for The existing Scheme for Eastbourne has not been fully

recommendations: updated since 2015, leading to uncertainty over certain

officer powers and difficulty with implementation

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1 Introduction

- 1.1 The Council's Scheme of Delegations to Officers forms part of the constitution, and sets out the substance and extent of powers delegated by the executive and full Council to each of the directors, assistant director, Monitoring Officer and Head of Legal Services. These delegations relate to officers' operational powers to perform their routine day to day functions.
- 1.2 Additionally, the Scheme delegates powers to Proper Officers, namely those officers designated under local government legislation to perform "proper officer" functions.
- 1.3 Since the Joint Transformation Programme in 2015, the Council (EBC) and Lewes District Council (LDC) have continued to operate under individual Schemes. The presentation and scope of each one is drafted quite differently.

- 1.4 This differing approach, in addition to the absence of a much needed update, has led to inconsistencies at corporate level where directors acting across both authorities have sought clarity over the nature and extent of their delegated powers. Equally, references to 'Proper Officer' legislation require a refresh to ensure only current law is cited.
- 1.5 Whilst updating and alignment is the overriding objective, two individual Schemes should remain, since EBC retains exclusive functions relating to Devonshire Park and culture and tourism, including Eastbourne Downs Golf Club. LDC retains exclusive functions relating to—
 - (i) its development management functions on behalf of South Downs National Park Authority, and
 - (ii) its management of Code of Conduct matters in relation to parish councils.
- 1.6 The two Schemes will in all other respects be identical, enabling officers to interpret and apply their powers correctly and consistently across their entire service responsibility and both authorities' geographical areas.

2 Proposal

- 2.1 Part of the Audit and Governance Committee remit is to consider governance amendments (including constitutional matters). In that capacity, the Committee is invited—
 - (i) to review the draft version of the updated and aligned Scheme set out in Appendix 1; and
 - (ii) to recommend its approval by Cabinet (executive functions) and by full Council (non-executive functions).
- 2.2 The Council's non-executive functions are set out in article 4.02 of the Council's constitution see link under Background Papers (paragraph 11) below. Any functions not listed in article 4.02 are, by default, executive functions.
- 2.3 As indicated in paragraph 1.1, the Scheme specifies powers exercisable by officers on a routine, regular basis as part of their ordinary day to day functions. These are distinct from express delegations made by resolution of Cabinet or full Council, giving effect to a stand-alone officer report and recommendations on a specific topic.
- 2.4 Subject to Cabinet approving the executive delegations at its meeting on 8 February 2023, the new Scheme will come into force immediately after full Council has approved the non-executive delegations at its meeting on 22 February 2023.
- 2.5 LDC's Scheme will undergo the same decision-making pathway through its own audit committee (16 January), Cabinet (2 February) and Full Council (20 February).

3 Outcome expected

3.1 An aligned and updated Scheme should ensure lawful, valid and consistent decision making by directors and their teams across both authority areas.

4 Consultation

- 4.1 The Corporate Management Team gave in principle agreement to the above proposal on 19 October 2022.
- 4.2 Relevant Heads of Service were consulted on 28 October 2022 to ensure the wording specific to their service areas was correct. Their updates and other amendments have been incorporated into the revised Scheme at Appendix 1.

5 Business Case and alternative option(s) considered

5.1 The Council is under a legal duty to include in its constitution a list of powers exercisable by officers. See further at paragraph 8 below.

6 Financial appraisal

The cost of updating and managing an updated set of Schemes can be met by the revenue budgets of the Legal Services and Democratic Services teams.

7 Legal implications

- 7.1 Section 9P(1) of the Local Government Act 2000 requires a council operating executive arrangements to prepare and keep up to date a constitution which contains (amongst other things) "such information as the Secretary of State may direct." Under the Local Government Act 2000 (Constitution) Direction 2000, the Secretary of State directed that councils must include in their constitution—
 - a description of those powers of the executive which are exercisable by an officer of the local authority, stating the title of the officer by whom each of the powers so specified is exercisable; and
 - a description of those powers of the council which are exercisable by an officer of the local authority stating the title of the officer by whom each of the powers so specified is for exercisable.
- 7.2 Apart from the record needing to list all powers delegated to specified officers, the document format is not prescribed by statute or common law. It is in the interests of council members, officers and the public, however, that the Scheme is clear and concise. It should also be prefaced by a set of principles as to how the Scheme operates (including arrangements for amending and adding to the Scheme as necessary from time to time) and guidelines by which it should be interpreted.

7.3 Section 100G(4) of the Local Government Act 1972 and section 9P(3) of the Local Government Act 2000 require that local authorities make a copy of its constitution available for inspection by members of the public at all reasonable hours. The council satisfies this obligation by publishing its constitution on its website.

Date of legal advice: 13.12.22 Legal ref: ADMIN-JOINT-OD (Governance)

8 Risk management implications

- 8.1 An aligned and updated Scheme provides a sound and proper basis on which directors can exercise powers on a delegated basis. It is no longer tenable for directors to rely on the current Scheme, given its many references to obsolete job titles, repealed legislation and lack of consistency across the shared LDC-EBC areas.
- 8.2 Officer decisions made without proper authority could provide an affected party with grounds to apply for a judicial review.

9 Appendices

Appendix 1 – proposed Scheme of Delegations to Officers, aligned and updated.

10 Background papers

The background papers used in compiling this report were as follows:

- Eastbourne Borough Council's current Scheme of Delegations https://www.lewes-eastbourne.gov.uk/ resources/assets/inline/full/0/259530.pdf
- Lewes District Council's current Scheme of Delegations
 https://www.lewes-eastbourne.gov.uk/ resources/assets/inline/full/0/259354.pdf
- List of non-executive powers all reserved to full Council
 EBC Constitution part 2 (lewes-eastbourne.gov.uk) (refer to pages 9-10)